

AF
2761
3622

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/412,013	
	Filing Date	October 4, 1999	
	First Named Inventor	Steinman, Jonas L.	
	Group Art Unit	2761	
	Examiner Name	Not yet Assigned	
Total Number of Pages in This Submission	12	Attorney Docket Number	102.002

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) Remarks	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Transmittal letter, Transmittal form and Acknowledgement Postcard.

RECEIVED

NOV 05 2002

Technology Center 2100

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Scott B. Allison
Signature	<i>Scott B. Allison</i>
Date	October 25, 2002

RECEIVED

NOV 06 2002

GROUP 3600

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <u>October 25, 2002</u>			
Type or printed name	Jill Holme	Date	October 25, 2002
Signature	<i>Jill Holme</i>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Steinman et al.

Application No.: 09/412,013

Filed: October 4, 1999

For: NETWORK-BASED
SWEEPSTAKES AND METHOD

) Group Art Unit: 2761

) Examiner: R. Alvarez

) **TRANSMITTAL LETTER**

) Attorney Docket No. 102.002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage and addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on October 25, 2002.

Dated: October 25, 2002 By:

John Holme
John Holme

Commissioner for Patents
Washington, D.C. 20231

RECEIVED

NOV 06 2002

GROUP 3600

Sir:

Enclosed herewith are:

1. ☒ A Response to the August 26, 2002 Final Office Action.
2. ☐ A Preliminary Amendment
3. ☐ A Petition for a(n) ____-month Extension of Time and a check for ____.
4. ☐ An Information Disclosure Statement, copies of ____ references, and a Form PTO-1449 (_ pages) listing the references.
5. ☒ An itemized Acknowledgement Postcard.

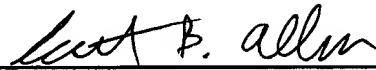
6. ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **50-1852** as described below. A duplicate copy of this sheet is enclosed.

- i. ☒ Credit any overpayment.
- ii. ☒ Charge any additional fees required under 37 CFR 1.16 and 1.17.

Respectfully submitted,

October 25, 2002

Date



Scott B. Allison
Attorney for Applicants
Registration No. 38,370
Buckley, Maschoff, Talwalkar & Allison, LLC
Five Elm Street
New Canaan, CT 06840
(203) 972-5985 /voice
(203) 972-7627 /fax



U.S. Patent Application Serial No. 09/412,013

Atricia Lewis
#12/B/E/W
edfm
11-08-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: STEINMAN et al.

Application Serial No.: 09/412,013

Filing Date: October 4, 1999

For: NETWORK BASED
SWEEPSTAKES SYSTEM AND
METHOD

)
)
) Group Art Unit: 2162

)
) Examiner: Alvarez, Raquel

)
) **RESPONSE TO FINAL OFFICE**
) **ACTION**

)
) Docket No.: I02.002

)
) Buckley, Maschoff, Talwalkar & Allison LLC
) 5 Elm Street
) New Canaan, CT 06840
) (203) 972-0006
)

RECEIVED
NOV 06 2002
GROUP 3600

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on October 25, 2002.

Dated: October 25, 2002

By: *[Signature]*

Pat Holme

Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Final Office Action mailed August 26, 2002, Applicants respectfully request entry of the following amendments into the above-identified application and consideration of the following remarks.

AMENDMENTS

In the Claims:

Please amend claims 1, 17, 35, 52, and 61 as follows:

1. (Twice Amended) A sweepstakes system, comprising:

Do not enter